

2011 JUN - 1

CERTIFICATE OF ELECTRONIC TRANSMISSION

00000019 233000 5722334 06/06/2011 DALLEN 3385.00 DA 01 FC:1599

I hereby certify that this correspondence is being electronically transmitted via EFS-WEB on May 25, 2011.

May 25, 2011 /Kevin E. Kuehn/ Date

Kevin E. Kuehn

Reg. No, 51904

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant[s]:

Walter Josef Martin et al.

Serial No.:

08/800,583 5,722,334

Patent No.:

February 18, 1997

Filing Date: Examiner:

Ljiljana V. Ciric

Art Unit:

3743

Title:

FIRE GRATE, IN PARTICULAR FOR WASTE INCINERATORS

Attorney Docket:

MTIN-01

Confirmation No.:

5253

May 25, 2011

COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

NOTIFICATION OF ERROR IN PAYMENT OF FEE(S) AS A SMALL ENTITY (37 C.F.R. §1.28(c))

Erroneous Filing of Small Entity Statement

- On February 17, 1997, Patent Owner (the assignee) erroneously filed a small entity 1. assertion for this patent.
- Patent Owner made this small entity assertion in good faith and paid the small entity 2. 96/96/2011 DALLEN 99898911 233889 98899583 fec(s) in good faith. 01 FC:1461 1870.00 DA
- Patent Owner recently learned that its small entity assertion was made in error, although 3. such assertion was made in good faith. More particularly, Patent Owner believed in good faith

U.S. Patent No. 5,722,334 (Serial No. 08/800,583)

Filing Date: February 18, 1997

that it was entitled to assert small entity status, at the time this assertion was made. Patent Owner has now learned that it was not so entitled. With this paper, Patent Owner rectifies this situation by identifying and paying the large entity amounts as identified below, i.e., the full deficiencies.

4. Itemization of the Fee(s) Erroneously Paid as a Small Entity

Filing Date of Original Paper	Type of Fee Paid	Column A Current Fee as a large entity	Column B Amount originally paid	Deficiency Owed (based on current fee amount) Col. A minus Col. B)
2/17/1997	Filing Fee	\$850	\$385	\$465
11/3/1997	Issue Fee	\$1510	\$660	\$850
8/29/2001	3.5 yr. Maintenance Fee	\$980	\$425	\$555
8/25/2005	7.5 yr. Maintenance Fee	\$2480	\$1150	\$1330
9/1/2009	11.5 yr. Maintenance Fee	\$4110	\$2055	\$2055
	<u> </u>		TOTAL	\$5255

5. Patent Owner respectfully requests that the total deficiency owed, i.e., an amount of \$5,255, be charged to Deposit Account No. 23-3000.

In view of payment of the deficiency per 37 C.F.R. §1.28(c), Patent Owner respectfully requests that the USPTO correct the file to indicate large entity status, and that the erroneous establishment of status as small entity be excused. If any additional fees are necessary to complete this communication, the Commissioner may consider this to be a request for such and charge any necessary fees to Deposit Account No. 23-3000.

Respectfully submitted, WOOD, HERRON & EVANS, L.L.P.

By: /Kevin E. Kuehn/ Kevin E. Kuehn, Reg. No. 51,904

2700 Carew Tower, 441 Vine Street Cincinnati, OH 45202-2917

Voice: (513) 241-2324 Facsimile: (513) 241-6234

PATENT

CERTIFICATE OF ELECTRONIC TRANSMISSION

I hereby certify that this correspondence is being electronically transmitted via EFS-WEB on May 25, 2011.

/Kevin E. Kuehn/

May 25, 2011

Kevin E. Kuehn

Date

Reg. No, 51904

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant[s]:

Walter Josef Martin et al.

Serial No.:

08/800,583

Patent No.:

5,722,334

Filing Date:

February 18, 1997

Examiner:

Ljiljana V. Ciric

Art Unit:

3743

Title:

FIRE GRATE, IN PARTICULAR FOR WASTE INCINERATORS

Attorney Docket:

MTIN-01

Confirmation No.:

5253

May 25, 2011

COMMISSIONER FOR PATENTS

P.O. Box 1450

Alexandria, VA 22313-1450

Sir:

NOTIFICATION OF ERROR IN PAYMENT OF FEE(S) AS A SMALL ENTITY (37 C.F.R. §1.28(c))

Erroneous Filing of Small Entity Statement

- 1. On February 17, 1997, Patent Owner (the assignee) erroneously filed a small entity assertion for this patent.
- 2. Patent Owner made this small entity assertion in good faith and paid the small entity fee(s) in good faith.
- 3. Patent Owner recently learned that its small entity assertion was made in error, although such assertion was made in good faith. More particularly, Patent Owner believed in good faith

U.S. Patent No. 5,722,334 (Serial No. 08/800,583)

Filing Date: February 18, 1997

that it was entitled to assert small entity status, at the time this assertion was made. Patent Owner has now learned that it was not so entitled. With this paper, Patent Owner rectifies this situation by identifying and paying the large entity amounts as identified below, i.e., the full deficiencies.

4. Itemization of the Fee(s) Erroneously Paid as a Small Entity

Filing Date of Original Paper	Type of Fee Paid	Column A Current Fee as a large entity	Column B Amount originally paid	Deficiency Owed (based on current fee amount) Col. A minus Col. B)
2/17/1997	Filing Fee	\$850	\$385	\$465
11/3/1997	Issue Fee	\$1510	\$660	\$850
8/29/2001	3.5 yr. Maintenance Fee	\$980	\$425	\$555
8/25/2005	7.5 yr. Maintenance Fee	\$2480	\$1150	\$1330
9/1/2009	11.5 yr. Maintenance Fee	\$4110	\$2055	\$2055
			TOTAL	\$5255

5. Patent Owner respectfully requests that the total deficiency owed, i.e., an amount of \$5,255, be charged to Deposit Account No. 23-3000.

In view of payment of the deficiency per 37 C.F.R. §1.28(c), Patent Owner respectfully requests that the USPTO correct the file to indicate large entity status, and that the erroneous establishment of status as small entity be excused. If any additional fees are necessary to complete this communication, the Commissioner may consider this to be a request for such and charge any necessary fees to Deposit Account No. 23-3000.

Respectfully submitted, WOOD, HERRON & EVANS, L.L.P.

By: /Kevin E. Kuehn/ Kevin E. Kuehn, Reg. No. 51,904

2700 Carew Tower, 441 Vine Street Cincinnati, OH 45202-2917

Voice: (513) 241-2324 Facsimile: (513) 241-6234